



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)	Group Art Unit: Unknown
)	
HOWARD STEIN)	Examiner: Unknown
)	
Application No. New)	INFORMATION DISCLOSURE
)	STATEMENT
Filed: Herewith)	
)	50 Fremont Street, 5 th Floor
For: METHOD AND APPARATUS FOR)	San Francisco, CA 94105-2230
GENERATING AN ENCRYPTION)	Customer No.: 27271
KEY)	

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant submits herewith patents, publications or other information [attached hereto and listed on the attached Form PTO-1449 (modified)] of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) ☒ accompanies the new patent application submitted herewith. 37 CFR § 1.97(a).
- (b) ☐ is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.
- (c) ☐ as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits.

- (d) ☐ is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below.
- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee (\$180) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

[If either of boxes (d) or (e) are checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

- (f) ☐ Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- (g) ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A list of the patent(s) or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of the items on PTO-1449 (Modified) is supplied herewith:

- (h) ☒ each (i) ☐ none (j) ☐ only those listed below:

Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached form PTO-1449 (Modified) are not supplied because they were previously cited by or submitted to the Office in a prior application no. _____, filed _____ and relied upon in this application for an earlier filing date under 35 U.S.C. § 120.

A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is:

- (k) ☒ not given
- (l) ☐ given for each listed item

- (m) ☐ given for only non-English language listed item(s) [Required]
- (n) ☐ is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references [copy attached].


The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention," MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

Respectfully submitted,
PILLSBURY WINTHROP LLP

Dated: 10-17-01

By: 
Mark C. Pickering
Reg. No. 36,239

Attorney for Applicant

Atty. Docket No: 075500-0278253 (HS-2)

FORM PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Express Mail Number EL754073535US

(Use several sheets if necessary)

Docket Number (Optional)
075500-0278253

Application No.
NEW (CIP of
09/605,290)

Applicant(s)
Howard Stein

Filing Date
Herewith

Group Art Unit
Unknown

10/17/01
09/981501
Jc971 U.S. PTO



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4	3	0	3	8	5	2	12/01/81	Silverman et al.	235	382	04/23/79
	5	4	2	0	9	2	4	05/30/95	Berson et al.	380	23	04/26/93
	5	5	0	9	0	8	3	04/16/96	Abtahi et al.	382	124	06/15/94
	4	4	6	4	5	6	6	08/07/84	Silverman et al.	235	382	09/29/82
	5	8	6	4	6	2	2	01/26/99	Marcus	380	23	11/20/92
	4	7	6	4	6	6	6	08/16/88	Bergeron	235	380	09/18/87
	4	9	3	1	6	2	9	06/05/90	Frankfurt	235	488	06/21/88
	5	6	1	7	5	2	8	04/01/97	Stechmann et al.	395	326	02/06/95
	6	0	0	0	6	0	8	12/14/99	Dorf	235	380	07/10/97
	5	9	8	8	5	1	0	11/23/99	Tuttle et al.	235	492	02/13/97
	3	7	6	4	7	4	2	10/09/73	Abbott et al.	178	22	10/09/73
	6	1	0	6	4	5	7	08/22/00	Perkins et al.	600	175	12/23/71
	6	0	8	5	9	7	6	07/11/00	Sehr	235	384	05/22/98
	5	5	1	3	2	7	2	04/30/96	Bogosian, Jr.	382	116	12/05/94

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation	
												Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

PTO/SB/08 (10-92)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE